FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL        |           |  |  |  |  |  |  |  |
|---------------------|-----------|--|--|--|--|--|--|--|
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|        | Check this box if no longer subject to |  |  |  |  |  |  |  |
|--------|--|--|--|--|--|--|--|--|
| $\neg$ | Section 16. Form 4 or Form 5           |  |  |  |  |  |  |  |
| _      | obligations may continue. See          |  |  |  |  |  |  |  |
|        | Instruction 1(b).                      |  |  |  |  |  |  |  |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Dizon Romeo R       |  |        |       |  | 2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [ IRIX ] |  |  |  |                   |                                      |                        |   |    | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Vother (specify |  |                    |   |   |  |   |
|---|--|--------|-------|--|--|--|--|--|-------------------|--------------------------------------|------------------------|---|----|--|--|--------------------|---|---|--|---|
| (Last) (First) (Middle) 1212 TERRA BELLA AVENUE               |  |        |       |  | 3. Date of Earliest Transaction (Month/Day/Year) 03/07/2017      |  |  |  |                   |                                      |                        |   |    | below)  Principal Accounting Officer   |  |                    |   |   |  |   |
| (Street)  MOUNT VIEW  | AIN CA   | Λ 9    | 94043 |  | 4. If  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |  |                   |                                      |                        |   |    |  | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |                    |   |   |  |   |
| (City)  | (St  | ate) ( | Zip)  |  |  | i disan  |  |  |                   |                                      |                        |   |    |  |  |                    |   |   |  |   |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |        |       |  |  |  |  |  |                   |                                      |                        |   |    |  |  |                    |   |   |  |   |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |  |        |       |  |  | Execu<br>Day/Year) if any                                |  | P.A. Deemed<br>Execution Date,<br>f any<br>Month/Day/Year) |                   | Transaction Disposed Code (Instr. 5) |                        | ities Acquired (A<br>d Of (D) (Instr. 3,  |    |  | 4 and See<br>Be  |                    | . Amount of<br>Securities<br>Seneficially<br>Dwned Following<br>Reported  |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |        |       |  |  |  |  |  | Code              | v                                    | Amount                 | (A) or<br>(D)   |    | Price  | Transaction(s)   |                    | ction(s)  |   |  | (1130.4)  |
| Common Stock 03/07  |  |        |       |  | 7/2017   | 7  |  |  | A                 |                                      | 8,000 <sup>(1)</sup> A |   | \$ | 25,289   |  |                    | D   |   |  |   |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |        |       |  |  |  |  |  |                   |                                      |                        |   |    |  |  |                    |   |   |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | Derivative Conversion Date Execution Date, or Exercise (Month/Day/Year)  |        |       |  | 4.<br>Transaction<br>Code (Instr.<br>8)                          |  | of<br>Derivative<br>Securion<br>Acqui<br>(A) or<br>Dispo | posed<br>D)<br>str. 3, 4                                   |                   |                                      | е                      | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |    |  | 8. Pric<br>Deriva<br>Securi<br>(Instr.   | ative<br>ity<br>5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|   |  |        |       |  | Code   | v  | (A)  | (D)  | Date<br>Exercisal |                                      | Expiration<br>Date     | Title   | of | nber<br>res  |  |                    |   |   |  |   |

## Explanation of Responses:

1. The performance-based restricted stock unit award is subject to vesting over a four year performance period covering the Company's fiscal years 2017 through 2020, subject to the resporting person continuing to be a service provider through the vesting date. The awards become eligible to vest based on a specified level of the Company's stock price and the reporting person has the ability to earn up to 100% of the shares underlying the award based on achievement of the performance criteria.

## Remarks:

/s/ Susan Bruce, Attorney-in-Fact for Romeo R. Dizon

04/13/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.