Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|             |      |       |

| STATEMENT | OF CH | IANGES | IN BEN | IEFICIAL | OWNERS | SHIP |
|-----------|-------|--------|--------|----------|--------|------|
|           |       |        |        |          |        |      |

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Street)  MOUNTAIN VIEW  CA  94043  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own   | of Reporting i<br>dicable)<br>etor<br>er (give title | g Person(s) to Iss<br>10% Ov<br>Other (s                          | wner  |
|--|--|---|---|
| MOUNTAIN VIEW CA 94043  (City) (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own  |  | below)  | -,,   |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own   | i filed by One F                                     | Filing (Check App<br>Reporting Person<br>e than One Repor         | n   |
|  | . d  |   |   |
| Date Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and 5) Secu (Month/Day/Year) if any Code (Instr.   | ount of fities Ficially (                            | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                 | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
| Control (A) or District Trans  | action(s)<br>3 and 4)                                |   | (Instr. 4)  |
| Common Stock 05/19/2014 M 625 A \$3.856  | 2,589  | D   |   |
| Common Stock 05/19/2014 s <sup>(1)</sup> 625 D \$8.8152  | 1,964  | D   |   |
| Common Stock 05/19/2014 M 1,025 A \$3.99   | 2,989  | D   |   |
| Common Stock 05/19/2014 s <sup>(1)</sup> 1,025 D \$8.8152  | 1,964  | D   |   |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owne<br>(e.g., puts, calls, warrants, options, convertible securities)   |  |   |   |
| 1. Title of Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security  (Instr. 3) Price and Expiration Date (Month/Day/Year)  (Instr. 5) Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)               |
| Code V (A) (D) Date Expiration Date Title Amount or Number of Shares  Stock  |  |   |   |

02/01/2013(3)

04/16/2013<sup>(4)</sup>

1,025

## **Explanation of Responses:**

\$3.856

\$3.99

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 Selling Plan adopted by the reporting person on 3/5/13.

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- 2. This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.
- 3. The shares are subject to vesting according to the following schedule: 1/48th of the total number of shares vest each month.
- 4. The shares shall vest as follows: 12/48ths of the shares shall vest 12 months after the Vesting Commencement Date and 1/48th of the shares shall vest each month thereafter.

## Remarks:

Option

Right to

Buy<sup>(2)</sup> Stock Option

Buy<sup>(2)</sup>

/s/ Susan Bruce, Attorney-in-Fact for Ronald Steckel

625

1,025

\$<mark>0</mark>

\$<mark>0</mark>

Common

Stock

Common

Stock

01/01/2017

04/16/2016

05/20/2014

20,750

32,750

D

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/19/2014

05/19/2014

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.