| SEC Form 4 | |
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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | 0 | or Sect | ion 30(n) (| of the | Investmer | nt Co | mpany Act | of 1940 | | | | | | |
|--|---|------------|--|---------|---|---------------------------------------|--|--------|--|--------|--------------------|--|--|---|---|----------------------|--|--|
| 1. Name and Address of Reporting Person [*] Mercer Patrick | | | | | 2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| | | | | | | | | - | | | | | Directo | | | 10% Ow | | |
| | | | | | 3. | Date | of Earliest | Trans | saction (Mo | onth/l | Day/Year) | | | X Officer below) | (give title | | Other (s below) | pecity |
| (Last) | ` | First) | (Middle) | | 09 | 09/19/2023 | | | | | | | | | C | 00 |) | |
| 1212 TERRA BELLA AVENUE | | | | | | | | | | | | | | | .00 | | | |
| | | | | _ 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| (Street) | | | | | | | | | | | | | | , | lod by Op | o Dono | orting Persor | |
| MOUNT | TAIN _ | | 0.40.40 | | | | | | | | | | | | | • | • | |
| VIEW | C | A | 94043 | | | | | | | | | | | Person | | re than | one Repor | ting |
| (City) | (5 | State) | (Zip) | | ⁻ R | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | |
| | | | | | Т | Che | ck this box | to ind | icate that a | transa | action was m | ade pursua | ant to a contra | act, instruction | or written p | olan tha | t is intended t | o satisfy |
| | the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | | | |
| | | Та | ble I - Noi | n-Deri | vativ | ve Se | curities | s Ac | quired, | Dis | posed o | of, or Be | eneficiall | y Owned | | | | |
| 1. Title of Security (Instr. 3) 2. Transa | | | | | sactio | | | | | | | | | | | 7. Nature of | | |
| Date (Month/D | | | | n/Day/Y | /Day/Year) if any | | Execution Date, f any Month/Day/Year) | | Code (Instr. | | | | | Securities Beneficially | | | ndirect Beneficial | |
| | | | | | | | | | | | | | Owned F Reported | | (I) (In | | Ownership Instr. 4) | |
| | | | | | | | | Code | v | Amount | (A) ((D) | Price | Transacti | Transaction(s) (Instr. 3 and 4) | | ľ | | |
| | | | Table II - | Doriv | otivo | - Eas | urition | A 0.0 | uirod D | licn | ocod of | or Pon | oficially | Ownod | | | I | |
| | | | | | | | | | | | converti | | | Owneu | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Dat if any (Month/Day/Yo | ate, 1 | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amou of Securities Underlying Derivative Securit (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivativ Securitie Beneficia Owned Following Reported | e es ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | - H | | | 3, 4 and 5) | | | | | | Amount | - | Transaction(s) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisab | | Expiration Date | Title | or Number of Shares | | (Instr. 4) | | | |
| Stock | | | | | | | | | | + | | | 1 | 1 | | | | 1 |
| Option (Right to | \$2.13 | 09/19/2023 | | | A | | 115,000 | | (1) | - • | 09/19/2030 | Common Stock | 115,000 | \$0.00 | 115,0 | 00 | D | |

Explanation of Responses:

buy)

1. The option vests as to one-third of the shares on June 30, 2024 and each one-year anniversary thereafter, subject to the Reporting Person continuing as a service provider through each such date. Remarks:

/s/ Nilo De Castro, Attorney-in-09/21/2023

** Signature of Reporting Person Date

Fact for Patrick Mercer

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.