## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	DC	205/19
vvasiiiiiqtuii,	D.C.	20349

Washington, D.C. 20045	
CTATEMENT OF CHANGES IN DENETION	OVANIEDCI UD
STATEMENT OF CHANGES IN BENEFICIAL	- OWNERSHIP

l	OMB APPRO	VAL
	OMB Number:	3235-0287
	Estimated average burd	en
l	hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* <u>Mackaness James H</u>								and Ti			ding :	Symbol			k all applic Directo	cable) or	ng Person(s) to Issuer  10% Owner		ner	
(Last) 1212 TE	,	rst) .A AVENUE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 05/21/2014										X	Officer (give title below)  CFO ar		Other (speci below) nd COO		pecify
(Street)  MOUNT  VIEW	'AIN C	A	94043				4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				1
(City)	(St	ate)	(Zip)																	
		Tab	le I - No	n-Deriv	vativ	e Se	curit	ies A	cqu	ired,	Dis	posed o	f, or Be	nefic	cially	Owned	l			
			2. Transaction Date (Month/Day/Year)		ar)   E	2A. Deemed Execution Date, if any (Month/Day/Year)		·,   ]	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			4 and 5) Secu Bene Own		urities leficially ned Following		Direct of Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership	
											v	Amount	(A) or (D) Price		e	Reported Transaction(s) (Instr. 3 and 4)				Instr. 4)
Common Stock 0				05/21	21/2014					M		1,000	A	\$	0.9 3,0		000		D	
Common Stock				05/21	/21/2014					S <sup>(1)</sup>		1,000	D	\$8.	.7995	2,0	000		D	
Common Stock				05/21	/21/2014					M		1,000	A	\$	0.9	3,0	000		D	
Common Stock 05/2				05/21	/2014					S <sup>(1)</sup>		1,000	D	\$8.	.7455	2,0	000		D	
Common Stock 05/2					/2014					M		1,000	A	\$	\$0.9		3,000		D	
Common Stock 05/				05/21	/2014					S <sup>(1)</sup>		1,000	1,000 D \$8		.6435	35 2,000		D		
		7	Γable ΙΙ -									osed of,				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Executior if any (Month/Da	ed 4. Date, Transa Code		ction	5. Number 6 of E		6. D Exp		ercis	able and	7. Title and Amount of Securities Underlying Derivative Sec (Instr. 3 and 4)		8	B. Price of Derivative Security Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	i C	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exe	e ercisabl		Expiration Date	Title	Amo or Num of Shar	ber					
Stock Option Right to	\$0.9	05/21/2014			M			3,000	01/1	11/2009	(3)	12/11/2015	Common Stock	3,0	00	\$0	4,000		D	

## **Explanation of Responses:**

- $1.\ The\ sale\ reported\ in\ this\ Form\ 4\ was\ pursuant\ to\ the\ Reporting\ Person's\ Rule\ 10b5-1\ Selling\ Plan\ effective\ November\ 21,\ 2013.$
- 2. This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.
- 3. The shares are subject to vesting according to the following schedule: 1/48th of the total number of shares vest each month.

## Remarks:

/s/ Susan Bruce, Attorney-in-Fact for James H. Mackaness

05/22/2014

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.