FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|----------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average be | urden | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | 2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | | |
|---|---|--|----------|--|--|---|---|---------------------|--|------------------|--|---|--|---|--|-----------------------|--|--|------------|--|
| Bruce David | | | | | THE DAY SOLUTION I | | | | | | | ✓ Direct | | or 10% (| | 10% Ow | /ner | | | |
| (Last) | (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/30/2024 | | | | | | | 1 | Officer (give title below) | | | Other (s below) | pecify | | |
| 1212 TERRA BELLA AVENUE | | | | 00/30/2024 | | | | | | | CEO | | | | | | | | | |
| 1212 TERRA BEEEN AVENUE | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | | | | |
| (Chroat) | | | | 4. If Americanient, Date of Original Filed (Month/Day/Tear) | | | | | | | b. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | | | |
| (Street) MOUNT | 'A TNI | | | | | | | | | | | | | 1 | Form | filed by On | e Repor | rting Perso | on | |
| VIEW | CA | A 9. | 4043 | | | | | | | | | | | | Form Perso | filed by Mo | re than | One Repo | orting | |
| (City) | (St | ate) (2 | Zip) | | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | | | |
| (3.0) | (01 | (2 | / | | ` ' | | | | | | | | | | | | | | | |
| | | | | | | Check this box to indicate that a transaction was made pursuant to a contract, satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10 | | | | | | | | | | | | | | |
| | | Table | I - No | n-Deriva | tive S | Secui | rities | Acq | uired, | Dis | posed of | , or B | enefic | ially | Own | ed | | | | |
| 1. Title of S | Security (Ins | tr. 3) | | 2. Transac | tion 2A. Deemed | | 4. Securities Acquired (A | | | |) or 5. Amo | | ount of 6. (| | Ownership | 7. Nature | | | | |
| Date (Month/Day | | | | y/Year) Execution Date, if any (Month/Day/Year) | | Transaction Disposed C Code (Instr. 8) | | Of (D) (Instr. 3, 4 | | Benefic Owned | | cially (D) | | Indirect E tr. 4) | of Indirect Beneficial Ownership | | | | | |
| | | | | | | | | | Code | v | Amount | (A) c | Pric | е | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common Stock 06/30/2 | | | | 2024 | | | F | | 7,098(1) | D | \$2 | .16 | 13 | 131,268 | | D | | | | |
| | | Tal | ble II - | Derivati | ve Se | curit | ies A | Acqu | ired, C | ispo | osed of, o | or Be | neficia | ally (| Owned | t | | | | |
| | | | | (e.g., pu | ıts, ca | ılls, v | varra | ınts, | option | ıs, c | onvertib | le sec | uritie | s) | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ise (Month/Day/Year) if any (Month/Day/Year) | | ion Date, | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title Amour Securi Underl Deriva Securi 3 and 4 | nt of ties ying tive ty (Instr. | Der Sec (Ins | Price of rivative curity str. 5) | 9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | y O Fo O (I) | 0. wwnership orm: irect (D) r Indirect) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code | | v | (A) | (D) | Date Exercisable | | Expiration Date | Title | Amount or Number of Shares | | | | | | | |

Explanation of Responses:

1. These shares have been withheld in an exempt disposition to the Issuer under Rule 16b-3(e), to satisfy the Issuer's income tax withholding and remittance obligations in connection with the net settlement of restricted stock units and does not represent a sale by the Reporting Person.

> /s/ Nilo De Castro, Attorneyin-fact for David Bruce

07/02/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.