## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287

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	Estimated average burden	
	hours per response: 0.5	

1. Name and Addre Steckel Rona	ess of Reporting Perso 111	on*	2. Issuer Name and Ticker or Trading Symbol <u>IRIDEX CORP</u> [ IRIX ]		tionship of Reporting Pers all applicable) Director	son(s) to Issuer 10% Owner
(Last) 1212 TERRA E	(1.1.0.0)		3. Date of Earliest Transaction (Month/Day/Year) 04/17/2015	X	Officer (give title below) VP, Operati	Other (specify below) ONS
(Street) MOUNTAIN VIEW CA		94043	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More thar Person	orting Person
(City)	(State)	(Zip)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	04/17/2015		М		625	A	\$3.856	32,072	D	
Common Stock	04/17/2015		<b>S</b> <sup>(1)</sup>		625	D	\$9.8617	31,447	D	
Common Stock	04/17/2015		М		1,042	A	\$3.99	32,489	D	
Common Stock	04/17/2015		S <sup>(1)</sup>		1,042	D	\$9.8617	31,447	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerci Expiration Dat (Month/Day/Ye	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option Right to Buy <sup>(2)</sup>	\$3.856	04/17/2015		М			625	02/01/2013 <sup>(3)</sup>	01/01/2017	Common Stock	625	\$0	13,125	D	
Stock Option Right to Buy <sup>(2)</sup>	\$3.99	04/17/2015		М			1,042	04/16/2003 <sup>(4)</sup>	04/16/2016	Common Stock	1,042	\$0	12,500	D	

#### Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 Selling Plan adopted by the reporting person on 12/5/14.

2. This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.

3. The shares are subject to vesting according to the following schedule: 1/48th of the total number of shares vest each month.

4. The shares shall vest as follows: 12/48ths of the shares shall vest 12 months after the Vesting Commencement Date and 1/48th of the shares shall vest each month thereafter.

#### **Remarks:**

/s/ Susan Bruce, Attorney-in-

Fact for Ronald Steckel

04/20/2015

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.