FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPRO | VAL |
|------------------------|-----------|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | or Se | ection 30(h) of the Ir | nvestment Co | mpany Act of 1940 | | | | | | | |
|---|------------|--------------|----------------------|---------------------------|----------------|----------------------------|---|----------------------|-----------------|-----------|--|--|--|
| 1. Name and Address of Reporting Ferson | | | | uer Name and Ticke | | Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| Mackaness J | ames H | | 11(1) | <u>DEPT COTA</u> [| IIII/I | | | Director | 10% (|)wner | | | |
| (2000) (11100) | | 3. Dat | e of Earliest Transa | ction (Month/l | Day/Year) | X | Officer (give title below) | below | (specify) | | | | |
| 1212 TERRA B | BELLA AVEN | UE | 06/18 | 3/2013 | | | | CFO a | and COO | | | | |
| (Street) MOUNTAIN | | | 4. If A | mendment, Date of | Original Filed | (Month/Day/Year) | 6. Indiv | idual or Joint/Group | Filing (Check A | pplicable | | | |
| VIEW CA 94043 | | | | | | X | Form filed by One Reporting Person | | | | | | |
| | | | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | | | | |
| | | Table I - No | n-Derivative : | Securities Acq | uired, Dis | posed of, or Benefi | icially | Owned | | | | | |
| 1. Title of Security | (Instr. 3) | | 2. Transaction | 2A. Deemed | 3. | 4. Securities Acquired (A) | or | 5. Amount of | 6. Ownership | 7. Nature | | | |

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
|--|--|---|--------------------------------|--|--|---|-------------|---|---|---|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V Amount (A) or (D) Price | | Transaction(s) (Instr. 3 and 4) | | (1113411.4) | | | | |
| Common Stock | 06/18/2013 | | M | | 4,358 | A | \$2.49 | 36,204 | D | | |
| Common Stock | 06/18/2013 | | S ⁽¹⁾ | | 4,358 | D | \$5.4053 | 31,846 | D | | |
| Common Stock | 06/18/2013 | | M | | 7,888 | A | \$2.49 | 31,846 | D | | |
| Common Stock | 06/18/2013 | | S ⁽¹⁾ | | 7,888 | D | \$5.4029 | 23,958 | D | | |
| Common Stock | 06/18/2013 | | M | | 3,958 | A | \$2.49 | 23,958 | D | | |
| Common Stock | 06/18/2013 | | S ⁽¹⁾ | | 3,958 | D | \$5.408 | 20,000 | D | | |
| Common Stock | 06/18/2013 | | M | | 3,658 | A | \$0.9 | 18,658 | D | | |
| Common Stock | 06/18/2013 | | S ⁽¹⁾ | | 3,658 | D | \$0.9 | 15,000 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) o Disp of (I | osed D) tr. 3, 4 | 6. Date Exerci Expiration Dat (Month/Day/Ye | e | 7. Title and Amount of Securities Underlying Derivative (Instr. 3 and | f g Security | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|--|------------------------|---|--------------------|--|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock Option Right to Buy ⁽²⁾ | \$2.49 | 06/18/2013 | | M | | | 4,358 | 02/02/2008 ⁽³⁾ | 01/02/2015 | Common Stock | 4,358 | \$0 | 31,846 | D | |
| Stock Option Right to Buy ⁽²⁾ | \$2.49 | 06/18/2013 | | М | | | 7,888 | 02/02/2008 ⁽³⁾ | 01/02/2015 | Common Stock | 7,888 | \$0 | 23,958 | D | |
| Stock Option Right to Buy ⁽²⁾ | \$2.49 | 06/18/2013 | | М | | | 3,958 | 02/02/2008 | 01/02/2015 | Common Stock | 3,958 | \$0 | 20,000 | D | |
| Stock Option Right to Buy ⁽⁴⁾ | \$0.9 | 06/18/2013 | | М | | | 3,658 | 01/11/2009 | 12/11/2015 | Common Stock | 3,658 | \$0 | 15,000 | D | |

Explanation of Responses:

- 1. The sale reported in this Form 4 was pursuant to the Reporting Person's Rule 10b5-1 Selling Plan effective March 5, 2013.
- 2. This option was granted pursuant to IRIDEX Corporation's incentive 1998 Stock Plan and is exempt pursuant to Rule 16b-3.
- 3. The shares are subject to vesting according to the following schedule: 1/48th of the total number of shares vest each month.
- 4. This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.

Remarks:

Fact for James H. Mackaness

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.