# FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

L	-	-								
	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

to Section 16. I	Form 4 or Form 5 y continue. See				Estimated aver	0
Instruction 1(b)			Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	34	hours per respo	onse: 0.5
			or Section 30(h) of the Investment Company Act of 1940			
1. Name and Addr	ess of Reportir	ig Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of F		n(s) to Issuer
Mercer Patri	ick	-	IRIDEX CORP [ IRIX ]	(Check all applicat Director	ole)	10% Owner
				Officer (gi	ve title	Other (specify
(1. aat)	(Einot)		3. Date of Earliest Transaction (Month/Day/Year)	- X below)	ve the	below)
(Last)	(First)	(Middle)	11/30/2020		COO	
1212 TERRA I	BELLA AVE	INUE				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applic Line)		
MOUNTAIN	CA	94043		í í	l by One Report	ing Person
VIEW	GII	54045			l by More than C	0
			—	Person	by wore than c	one reporting
(City)	(State)	(Zip)				
		Table I - Non-De	rivative Securities Acquired. Disposed of. or Ben	eficially Owned		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/30/2020		F		71 <sup>(1)</sup>	D	\$1.71	34,378	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		4 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### Explanation of Responses:

1. These shares have been withheld in an exempt disposition to the Issuer under Rule 16b-3(e), to satisfy the Issuer's income tax withholding and remittance obligations in connection with the net settlement of restricted stock units and does not represent a sale by the Reporting Person.

#### **Remarks:**

## /s/ Nilo De Castro, Attorneyin-Fact for Patrick Mercer

12/01/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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